

QUARTERLY EXPENDITURE STATEMENT and UNLIQUIDATED OBLIGATIONS REPORT (US\$)

Project title: Implementation of the Strategic Action Programme for the Protection of the Western Indian Ocean from Land-based Sources and Activities (WIO-SAP)

Project number: 4940
 Project implementing agency/organization: UNEP/Nairobi Convention Secretariat
 Project implementation period: From: Aug-16 To: Jun-21
 Reporting period: From: Jul-19 To: Dec-19

UNEP Budget Line	UNEP approved budget		Actual expenditures incurred*								Cumulative unspent balance to-date
	Total project budget	Current YEAR budget	Cummulative expenditures for current YEAR	Disbursements for current HALF-YEAR	Unliquidated obligations for current HALF-YEAR	Total expenditures for current HALF-YEAR	Total expenditures for current YEAR	Cummulative expenditures for previous YEARS	Total cumulative expenditures to date		
	A	B	C	D	E	F=D+E	G=C+F	H	I=G+H	J=A-I	
PERSONNEL COMPONENT											
1100 Project personnel											
1101 Project Manager	762,783	210,000	88,749	88,987	-	88,987	177,736	162,783	340,519	422,264	
1102 Project Technical Officer/Scientist	200,000	100,000	-	-	-	-	-	-	-	200,000	
1102 Policy Officer/Governance Officer	240,000	120,000	71,885	193,204	44	193,248	265,132	-	265,132	(25,132)	
1103 Administrative Assistant	277,770	45,000	59,076	48,202	(10,278)	37,925	97,001	157,770	254,771	22,999	
1105 Finance Assistant (50%)	75,000	25,000	-	-	-	-	-	-	-	75,000	
1199 Sub-total	1,555,553	500,000	219,709	330,393	(10,234)	320,160	539,869	320,553	860,422	695,131	
1200 Consultants											
1201 National Consultants	350,500	120,000	50,825	31,695	(24,866)	6,829	57,654	96,385	154,039	196,461	
1202 International Consultants	250,000	60,000	45,000	20,000	10,000	30,000	75,000	76,760	151,760	98,240	
1203 Technical Support on LBSA activity	135,000	20,000	-	-	-	-	-	-	-	135,000	
1299 Sub-total	735,500	200,000	95,825	51,695	(14,866)	36,829	132,654	173,145	305,799	429,701	
1300 Administrative Support											
1301 Support to National Focal Point Offices	31,168	-	-	-	-	-	-	-	-	31,168	
1302 Support to the Regional coordination structure	92,505	44,496	-	-	-	-	-	79,177	79,177	13,328	
1303 Support to the CHM	-	-	-	-	-	-	-	-	-	-	
1399 Sub-total	123,673	44,496	-	-	-	-	-	79,177	79,177	44,496	
1600 Travel on official business											
1601 Project Manager Travel	211,353	30,000	30,560	51,078	(16,398)	34,679	65,240	136,353	201,592	9,761	
1602 Project Technical Officer Travel	30,000	10,000	-	-	-	-	-	-	-	30,000	
1603 Policy/Governance Officer Travel	30,000	10,000	-	-	-	-	-	-	-	30,000	
1604 Administrative Assistant Travel	15,000	5,000	-	-	-	-	-	-	-	15,000	
1699 Sub-total	286,353	55,000	30,560	51,078	(16,398)	34,679	65,240	136,353	201,592	84,761	
Personnel Component Total	2,701,079	799,496	346,095	433,166	- 41,498	391,668	737,763	709,228	1,446,991	1,254,088	
SUB-CONTRACT COMPONENT											
2100 Sub-contracts (MOU's/LOAs for cooperating agencies)											
2101 IAEA-MSL (Monitoring Framework)	-	-	-	-	-	-	-	-	-	-	
2102 WIO/MSA	-	-	-	-	-	-	-	-	-	-	
2105 National Universities	-	-	-	-	-	-	-	-	-	-	
2199 Sub-total	-	-	-	-	-	-	-	-	-	-	
2200 Sub-contracts (MOU's/LOAs for supporting organizations)											
2201 In-country interventions (wastewater)	625,000	-	-	-	-	-	-	15,000	15,000	610,000	
2202 In-country interventions (Effluent Reduction)	250,000	-	-	-	-	-	-	-	-	250,000	
2203 In-country interventions (Restoration)	291,000	-	-	-	-	-	-	-	-	291,000	
2204 In-country interventions (ICZM)	320,000	-	39,558	-	80,800	80,800	120,358	-	120,358	199,642	
2205 Spatial Planning	298,789	-	-	-	-	-	-	18,789	18,789	280,000	
2206 Management Plans	560,096	-	84,152	-	(24,644)	(24,644)	59,508	21,096	80,603	479,493	
2207 Ecosystem Valuation	158,226	-	-	-	-	-	-	-	-	158,226	
2208 Development of Extractive Use Strategies	140,000	-	-	-	-	-	-	-	-	140,000	
2209 Vulnerability Assessment	68,000	-	-	-	-	-	-	-	-	68,000	
2210 Regional Critical Ecosystem Indicators	161,358	-	-	-	-	-	-	161,358	161,358	-	
2211 National monitoring of critical ecosystems	147,171	-	-	-	-	-	-	17,171	17,171	130,000	
2212 Local Community Empowerment on WQ issues	297,944	-	-	30,641	54,261	84,902	84,902	17,944	102,846	195,098	
2213 Harmonisation of WQ monitoring frameworks	68,000	-	-	-	-	-	-	-	-	68,000	
2214 Review of Regional Water Quality standards	88,944	-	-	-	-	-	-	17,944	17,944	71,000	
2215 Implementation of WQ Monitoring Frameworks	320,746	-	-	-	-	-	-	746	746	320,000	
2216 Conduct Environmental Flow Assessment	340,944	-	-	35,888	18,373	54,261	54,261	17,944	72,205	268,739	
2217 Develop and Implement EFA Plans	275,944	-	-	-	-	-	-	17,944	17,944	258,000	
2218 Development of EFA Guidelines	141,550	-	-	-	-	-	-	-	-	141,550	
2219 Conjointive River Basin Management	200,000	-	-	-	-	-	-	-	-	200,000	
2220 Development of ICM Protocol	150,000	80,000	-	-	-	-	-	-	-	150,000	
2221 Ratification of LBSA Protocol	47,000	27,000	-	-	-	-	-	-	-	47,000	
2223 Coordinated Management and Learning	249,836	49,695	-	36,838	1,863	38,702	38,702	174,141	212,843	36,993	
2224 Clearing House Mechanism	220,098	85,000	-	12,376	11,244	23,620	23,620	35,888	59,508	160,590	
2225 Establish Science Exchange Platform	132,809	80,078	-	-	-	-	-	2,731	2,731	130,078	
2299 Sub-total	5,553,455	321,773	123,710	115,743	141,898	257,641	381,352	518,695	900,047	4,653,409	
2300 Sub-contracts (for commercial purposes)											
2301 Publicity and awareness	126,038	10,000	-	-	-	-	-	-	-	126,038	
2303	-	-	-	-	-	-	-	-	-	-	
2399 Sub-total	126,038	10,000	-	-	-	-	-	-	-	126,038	
Sub-Contract Component Total	5,679,493	331,773	123,710	115,743	141,898	257,641	381,352	518,695	900,047	4,779,447	
TRAINING COMPONENT											
3200 Group training											
3201 Environmental Flow Assessment	70,000	70,000	-	48,455	6,277	54,731	54,731	-	54,731	15,269	
3202 Spatial Planning	233,740	83,000	2,172	25,719	5,345	31,065	33,237	87,605	120,842	112,898	
3203 Ecosystem Evaluation	50,000	-	(2,991)	2,623	896	3,519	529	-	529	49,471	
3204 River Basin Study Tour	40,000	-	4,525	-	724	724	5,249	-	5,249	34,751	
3206 LBSA Educational Programme	121,247	38,000	-	-	-	-	-	36,247	36,247	85,000	
3207 Implementation of the WIO-SAP project	112,186	68,500	-	-	-	-	-	-	-	112,186	
3299 Sub-total	627,173	259,500	3,707	76,797	13,242	90,039	93,746	123,853	217,599	409,574	
3300 Meetings/Conferences											
3301 Regional Task Forces Meetings (WSQ & PADH)	180,000	50,000	14,489	8,798	(982)	7,816	22,306	-	-	180,000	
3302 Steering Committee Meetings	269,452	50,000	50,347	12,819	(8,966)	3,853	54,200	169,114	223,314	46,138	
3303 National Implementation Committees	186,397	100,000	1,395	(106)	(1,692)	(1,798)	(403)	11,397	10,994	175,403	
3304 National Focal Point Institution Meetings	286,552	50,000	(1,678)	2,079	(509)	1,569	(108)	194,351	194,243	92,309	
3305 Legal Task Force Meetings	320,618	108,463	109,913	49,778	(54,974)	(5,196)	104,717	192,155	296,872	23,746	
3306 Science-Policy Forum	155,161	72,417	65,330	1,428	(2,420)	(992)	64,338	67,744	132,082	23,079	
3307 Adoption of ICZM Protocol	1,398,180	430,880	239,796	74,797	(69,543)	5,254	245,050	634,760	857,504	540,676	
3399 Sub-total	2,025,353	690,380	243,503	151,594	- 56,302	95,292	338,796	758,613	1,075,103	950,250	

EQUIPM											
4100	Expendable equipment										
4101	Office Supplies	3,672	500	3,446	23	(171)	(148)	3,298	175	3,473	199
4102	Computer stationaries (cartridges, etc.)	2,166	500	204	192	(100)	92	296	666	962	1,204
4103	Unspecified supplies	2,795	500	-	-	-	-	-	1,295	1,295	1,500
4199	Sub-total	8,633	1,500	3,651	215	(271)	(56)	3,595	2,136	5,731	2,902
4200	Non-expendable equipment										
4201	Computer hardware	23,086	6,250	1,052	6,828	(1,052)	5,776	6,828	10,836	17,664	5,422
4202	Office Equipment	7,665	2,500	500	-	-	-	500	2,165	2,665	5,000
4203	Water Quality Monitoring Equipment	108,000	-	-	-	-	-	-	-	-	108,000
4204	GIS equipment	-	-	-	-	-	-	-	-	-	-
4299	Sub-total	138,751	8,750	1,552	6,828	(1,052)	5,776	7,328	13,001	20,329	118,422
	Equipment and Premises Component Total	147,384	10,250	5,203	7,043	-	1,323	5,720	10,923	15,137	26,060
	MISCELL										
5100	Operation and maintenance of equipment										
5101	Maintenance of computer hardware	2,500	1,250	160	-	-	-	160	-	160	2,340
5102	Maintenance of Office Equipment	2,250	1,250	-	-	-	-	-	-	-	2,250
5103	Maintenance of GIS equipment	-	-	-	-	-	-	-	-	-	-
5199	Sub-total	4,750	2,500	160	-	-	-	160	-	160	4,590
5200	Reporting costs										
5201	Publication of Reports of meetings	19,423	-	400	1,407	-	1,407	1,807	4,423	6,230	13,193
5202	Publication of Technical Reports	55,000	-	-	-	-	-	-	-	-	55,000
5203	Publication of project newsletter	13,000	-	-	-	-	-	-	-	-	13,000
5204	Publication of policy briefs and lessons	10,000	-	-	-	-	-	-	-	-	10,000
5205	Printing of spatial planning maps	-	-	-	-	-	-	-	-	-	-
5206	Printing of awareness materials	10,000	6,000	-	-	-	-	-	-	-	10,000
5299	Sub-total	107,423	6,000	400	1,407	-	1,407	1,807	4,423	6,230	101,193
5300	Sundry										
5301	Communication (Telephone, internet, etc.)	20,518	2,000	238	2,694	(122)	2,572	2,810	16,518	19,328	1,190
5302	Postage charges	10,000	-	-	-	-	-	-	-	-	10,000
5303	Freight and port clearance charges	10,000	-	-	-	531	531	531	-	531	9,469
5399	Sub-total	40,518	2,000	238	2,694	409	3,103	3,341	16,518	19,859	20,659
5400	Hospitality and entertainment										
5401	Reception for Regional Task Forces	10,000	-	-	132	-	132	132	-	132	9,868
5402	Reception for Steering Committee	10,000	-	-	-	-	-	-	-	-	10,000
5403	Reception for Inter-Ministerial Committee	-	-	-	-	-	-	-	-	-	-
5499	Sub-total	20,000	-	-	132	-	132	132	-	132	19,868
5500	Evaluation										
5501	Mid-Term Evaluation	40,000	-	-	-	-	-	-	-	-	40,000
5502	Terminal Evaluation	60,000	-	-	-	-	-	-	-	-	60,000
5591	Annual External Audits	41,000	11,000	-	-	-	-	-	-	-	41,000
5599	Sub-total	141,000	11,000	-	-	-	-	-	-	-	141,000
	Miscellaneous Component Total	313,691	21,500	798	4,233	409	4,642	5,440	20,941	26,381	287,310
99	GRAND TOTAL	10,867,000	1,853,399	719,309	711,779	43,185	754,964	1,474,272	2,022,614	3,474,581	7,392,419

Important comments on the budgets lines following budget revision

*The actual expenditures should be reported in accordance with the specific budget lines of the approved budget (Appendix 1) of the project document in Annex 1
The appended schedule "Explanation for expenditures reported in quarterly expenditure statement" should also be completed

EXPLANATION FOR EXPENDITURES REPORTED IN QUARTERLY EXPENDITURE STATEMENT

From:	Total	EXPLANATION
To:		
BL**	Budget Line description	
1100	Project Personnel	330,393
1200	Consultants	51,695
1300	Administrative Support	-
1600	Travel on official business	51,078
2100	Sub-contracts (cooperating agencies)	-
2200	Sub-contracts (supporting organizations)	115,743
2300	Sub-contracts (commercial purposes)	-
3200	Group training	76,797
3300	Meetings/ conferences	74,797
4100	Expendable equipment	215
4200	Non-expendable equipment	6,828
5100	Operation and maintenance of equipment	-
5200	Reporting costs	1,407
5300	Sundry	2,694
5400	Hospitality and entertainment	132
5500	Evaluation	-
99	Total as per Expenditure Statement	711,779 equals total of column F

**Budget Lines (BL) in this report shall be exactly as specified in the approved budget (Appendix 1) of the project.

Name: Dixon Waruinge

Title: Coordinator, Nairobi Convention Secretariat

Name of Project Manager: Jared Bosire

Duly authorized official of Executing Division

Signature:

Signature:

Date: 20/02/2020

Date: 20/02/2020